



Form 210A (10/06)

## United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors,

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

St.Galler Kantonalbank AG

Name of Transferee

Name and Address where notices  
to transferee should be sent:

Attn. Anina Zürcher / Sfca  
St. Leonhardstrasse 25  
9001 St. Gallen  
Switzerland  
e-mail: anina.zuercher@sgkb.ch

Phone: +41 71 231 34 13

Last Four Digits of Acct #: \_\_\_\_\_

St.Galler Kantonalbank AG - sucursal em Portugal

Name of Transferor

Court Claim # (if known): 44509

Date Claim Filed: 10/21/2009

Amount of Claim: USD 1'500'000

Portion of Claim Transferred (see  
Schedule I): USD 1'500'000

Phone: \_\_\_\_\_

Last Four Digits of Acct. #: \_\_\_\_\_

Name and Address where transferee  
payments should be sent (if different  
from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

### St.Galler Kantonalbank AG

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: Anina Zürcher  
Transferee/Transferee's Agent

Date: November 29, 2016

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.



**St.Galler  
Kantonalbank**

*Private  
Banking*

Sucursal em Portugal  
Av. da Liberdade, 190-5º A  
1250-147 Lisboa, Portugal  
Telefone Geral 21 043 8300  
Fax 21 043 8333

**EVIDENCE OF TRANSFER OF CLAIM**

**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, **St.Galler Kantonalbank AG – sucursal em Portugal** ("Transferor") unconditionally and irrevocably transferred to **St.Galler Kantonalbank AG** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 44509**) of **USD 1'500'000.00** in nominal value/units related to the security with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED ON **November 29, 2016**.

**St.Galler Kantonalbank AG – sucursal em Portugal**

By: Silvia Brito Leal  
Name: Silvia Brito Leal  
Title: Finance and Risk Manager

By: Cláudia Matos  
Name: Cláudia Matos  
Title: Compliance Officer





**St.Galler  
Kantonalbank**

*Private  
Banking*

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Av. da Liberdade, 190-5º A  
1250-147 Lisboa, Portugal  
Telefone Geral 21 043 8300  
Fax 21 043 8333

**SCHEDULE I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

ISIN	Court Claim #	Date Claim Filed	Issuer	Nominal Amount of Claim related to Security
XS0336556146	44509	10/21/2009	Lehman Brothers Treasury BV.	USD 1'500'000.00



Telefon: +41 71 231 31 31  
Fax: +41 71 231 32 32  
Internet: www.sgkb.ch

**Hauptsitz**

St.Galler Kantonalbank AG  
St. Leonhardstrasse 25  
Postfach  
9001 St. Gallen

**By Courier**

Epiq Bankruptcy Solutions, LLC  
Attn: Lehman Brothers Holdings Inc  
Claims Processing  
757 Third Avenue, 3<sup>rd</sup> Floor  
New York, NY 10017  
USA

Date 11/29/2016  
u/Ref Sfca  
Phone-No. +41 71 231 34 13 / Anina Zürcher  
E-Mail anina.zuercher@sgkb.ch

**Claim Transfer**

Dear Sir or Madam,

Enclosed you receive the forms 'Evidence of Partial Transfer of Claim' and 210A.

If we do not hear from you, we assume that everything is okay.

Please feel free to contact the above mentioned phone number if you have any questions.

Thank you for your cooperation in advance.

Yours sincerely,

St.Galler Kantonalbank AG

  
Pascal Schmid  
Member of Management

  
Anina Zürcher  
Member of Management

dhl.com

Please ensure  
and all goods  
Place complete  
Por favor ase  
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empacado, d  
Colocar guia  
Veuillez vous  
et que les ma  
inserez le ho

EXPRESS WORLDWIDE

IntraShip (7.2) 117-1409

DOX

DHL

From St Gallen Kantonalbank AG  
Spendition 000000  
St. Leonhardstrasse 25  
9001 ST. GALLEN POSTAUTO  
CH SWITZERLAND

Origin  
BSL

To Epiq Bankruptcy Solutions, LCC  
Lehman Brothers  
Third Avenue, 3 rd Floor 757  
Lehman Brothers Holdings inc  
Claims Processing  
10017 NEW YORK NEW YORK  
US UNITED STATES OF AMERICA

Phone:  
0000

LEJ2 US-ZYP-TS3

Day Time

Ref code 3630

Piece Weight: 0.12 kg

Piece

Account No 150534932

Pickup date: 2016-11-30

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Content / Commerce Control Statement / RC

Dokument

Incoterm : DAP Customs Value : 0.00 CHF  
Imp/Exp Type : permanent IV : 0.00 CHF

FILED / RECEIVED

DEC 01 2016

EPIQ SYSTEMS



WAYBILL 61 6459 8403



(2)IUS10017+42000000



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